

# **Addendum to the TSANet Code of Conduct**

## **(Mission Critical Customer Relationship)**

### **General**

Organizations seeking membership in TSANet, Inc. (“**TSANet**”) agree to identify each “**Company Site**” in the process of becoming a member of TSANet. This TSANet relationship addendum (this “**Addendum**”) applies to conduct of a member and each of its representatives at each such site, and at other locations where the member makes or receives calls for support or engages in membership activities in furtherance of this Mission Critical Customer Relationship (“**Mission Critical Relationship**”).

Each member shall assure that each of member’s representatives participating in TSANet calls or member activities for such member shall first have been trained in TSANet processes and procedures applicable to the relationship in which they are participating (each a “**Designated Caller**”). Each Designated Caller shall only conduct themselves in compliance with the TSANet Code of Conduct (the “**Code of Conduct**”), this Addendum, all TSANet applicable processes and procedures, as well as TSANet policy when participating in this Mission Critical Relationship.

TSANet members who agree to interact with each other in an open group to further the Mission Critical Relationship are together known as participants (“**Participants**”). Participants in TSANet’s Mission Critical Relationship agree to collaborate with all other Participants of the relationship under the conditions designated in this document. Each relationship within TSANet allows for a clearly defined support process whereby a mutual customer has been identified and a multi-vendor problem exists. A mutual customer is a company or individual customer that is (1) using Products from two or more Participants in conjunction with one another and (2) meeting the criteria set forth by each of those Participants in the TSANet database defining the customer as a mutual customer (a “**Mutual Customer**”).

Participation in this Mission Critical Relationship may be implemented by a member in all TSANet regions. Each member’s activities in TSANet shall conform to the Code of Conduct and each member is solely responsible for conforming their conduct to laws applicable to their business activities. The Code of Conduct contains terms and conditions, policies and processes that each member is required to adhere to for entry into and participation in a TSANet relationship. In the event of any conflicts or inconsistencies between the Code of Conduct and this Addendum, the terms of this Addendum shall take precedence as between members in the Mission Critical Relationship; provided, however, that this Addendum is not intended to modify or alter Section 5 (“**Competition**”) and Section 7.4 (“**Limitation of Liability**”) of the Code of Conduct, nor Section 7 (“**Limitation of Liability**”) in the TSANet Database License and Member Acceptance Agreement, as may be periodically revised or updated.

### **Requirements for Participation:**

Participants agree to diagnose and troubleshoot Mutual Customer, multi-vendor problems to isolation and/or resolution with other Participants in accordance with the Mutual Customer’s obligations with the Participant(s) as defined in the TSANet Database or relationship terms.

Participants are obligated to respond to other participants under the terms of this agreement where the Mutual Customer has a valid twenty-four by seven (24/7) support contract with the Participant. Participants agree to have an internal process to engage technicians capable of diagnosing or troubleshooting an incident to isolation and/or resolution. Participants further agree that when a service request is initiated and the product has been identified as part of this relationship, Participants will collaborate within the defined response guidelines.

## Global Requirements

Participation in this relationship entails a global requirement. Participants are requested to support Mutual Customers on a global basis under the guidelines of this relationship. Participants may be requested to provide contact and escalation procedures to support customers worldwide and may only originate calls from TSANet defined geographic areas (the “GEO”) specified in their membership.

## Term

This Addendum is effective immediately upon adoption by TSANet, may be periodically updated, and will automatically be renewed on an annual basis unless otherwise specified. Participants may terminate their participation in this relationship with 120 days prior written notice to the President of TSANet.

## Certified Platforms

One Participant's certified platform does not necessarily constitute a certified platform that will be supported by all Participants. Where support obligations (i.e. Mutual Customer) exist from Participant(s) to customer, Participant agrees to engage other Participant(s) utilizing reasonable efforts and general troubleshooting to isolate and/or resolve a customer configuration regardless of whether there is a mutually agreed upon configuration or certified platform. This Addendum does not obligate Participants to continue engagement beyond initial troubleshooting on non-mutually agreed upon certified platforms. If after initial evaluation a Participant determines resources beyond initial troubleshooting are required and disengages on a non-mutually agreed upon certified platform(s), the Participant disengaging agrees to inform the Mutual Customer of the disengagement.

Participants are encouraged to post or link to information concerning certified platforms in the TSANet database. Participants are responsible for supporting the customer based on their independent and direct support contract with the customer in question.

Participants should never imply in sales or support literature that membership in this relationship obligates a Participant to engage resources beyond general troubleshooting to isolation and/or resolution where a mutually agreed upon configuration has not been agreed upon by all parties involved.

## Response Time Priority

Participants agree to strive to respond via telephone or electronic correspondence to other Participants with Mutual Customer, multivendor problems based on the following Priority Definitions:

[ ] **P-1 Critical** (System Critical, Down, Loss of main functionality) A catastrophic problem that may severely impact the Mutual Customer's ability to conduct business. This may mean that the Mutual Customer's systems and/or product are down or not functioning and no procedural workaround exists.

For **P-1** issues - Participants will strive to respond to each other within **two hours** from the time of the initial call. Response is defined as a technician beginning the diagnostic process via telephone or electronic correspondence.

Calls may be escalated **after two hours**. Participants will provide an escalation path that assures response of escalated issues in accordance with the guidelines listed above.

[ ] **P-2 Serious**. (Serious System Problems) A high-impact problem in which the customer's operation is disrupted but there is capacity to remain productive and maintain necessary business-level operations.

The problem may require a fix be installed on the Mutual Customer's system prior to the next planned commercial release of the Product. For **P-2** issues - Participants will strive to respond to each other within **four hours** from the time of the initial call. Response is defined as a technician beginning the diagnostic process via telephone or electronic correspondence. Calls may be escalated **after four hours for P-2 issues**. Participants will provide an escalation path that assures response of escalated issues in accordance with the guidelines listed above.

[ ] **P-3 Low Impact.** (System Problems) Medium-to-low impact problem that involves partial loss of non-critical functionality. The problem impairs some operations but allows the Mutual Customer to continue to function. This may be a minor issue with limited loss or no loss of functionality or impact to the Mutual Customer's operation. This includes documentation errors. For **P-3** and issues **lower than**

**P-3** - Participants will strive to respond to each other by the next business day from the time of the initial call.

### **Escalation**

Members agree to define a process twenty-four hours per day to escalate calls to the appropriate management level capable of authorizing the required resources to diagnose, troubleshoot, isolate and/or resolve complex problems within the appropriate time for the severity level.

### **Transfer of Incident**

Members agree to transfer Mission Critical Relationship incidents between participants and/or host sponsor Member of the Mission Critical Relationship and a Participant where both parties mutually agree that the call should be transferred. The Participant accepting the responsibility will accept a direct customer transfer from another Participant, and the accepting Participant shall be responsible for informing the Mutual Customer in a reasonable and timely manner that ownership has been transferred. All Participants shall make reasonable efforts to ensure a smooth transition and ensure Participants and the Mutual Customer are aware of the status of the call.

### **Follow-up of Incidents**

Members may agree to follow-up on each case transferred to them from another member to the Participant to which the case is transferred, and the follow up will be designated in the attached schedule for the specific relationship(s) in which the member agrees to participate. Follow-up status will include the case number, problem description, and resolution when the case is closed. If the case remains open after two business days the Participant will provide follow-up that will include the case number, "action taken", "next action", and the date of the next status update from the Participant (not to exceed 3 business days for cases that remain at P-1) as mutually agreed between the sponsoring Member and the Participant. Follow-up will be done through the Optional Incident Tracking System provided in the TSANet database. Periodic conference calls may be initiated by the TSANet President, or by sponsoring members to access individual incidents.

### **Products Supported and Notification of Discontinued Products**

Participants in each community will collaborate with each other to support a Mutual Customer for defined product offerings (which may in fact include all products sold and supported by a Participant) or by providing support based on a customer's contractual relationship with the Participant.

A Participant in this relationship agrees to provide 45 days written notification to the Member's Authorized Representative who has been designated a business manager (the "**Business Manager**") for this relationship when a Participant decides to make a significant change to their cooperative support entitlement instructions. Such changes will include, but not be limited to, withdrawal of products currently supported where such products are still actively being sold and supported by the Participant outside this relationship or a change in the level of contract required by the end user to be supported under this relationship.

### **Information Required**

Participants agree to supply TSANet the following information and keep information up-to-date for the purposes of this relationship. Participant information will be available via the TSANet database only to other Participants of this relationship. Required information must be made for each TSANet site

participating in the agreement. Participants agree to verify and/or update information every ninety (90) days. Support organization process instructions or access number used by the Participant for collaboration products covered under this relationship Participant's criteria for a verification of a Mutual Customer Escalation Information as required by each relationship.

### **Disqualification or Discipline**

A Participant's non-compliance with this Addendum or the member's duties and responsibilities applicable to this Mission Critical Relationship may result in suspension or disqualification from this relationship. The Designated Business Managers defined in this relationship by simple majority may terminate or suspend a member. Suspension will occur only after the Participant is made aware of the noncompliance and given reasonable opportunity to be heard by the President. In the event the President is for any reason unable to determine whether suspension or disqualification from this relationship is appropriate, the President shall refer this matter to the Executive Committee of the Board of Directors, for the purpose of hearing and then deciding the matter. Any determination of the President or the Executive Committee of the Board of Directors with respect to such matters shall be final and binding on member. In addition, in the event that the President or the Executive Committee of the Board so determines, based on the information presented with regard to such matter, discipline of the member(s) may include censure, or forfeiture of membership in TSANet.

### **Member Acceptance of Addendum**

By signifying acceptance, Member agrees to be bound to the terms of this Agreement, intends to submit this Addendum to TSANet, and agrees to the terms of participation in the TSANet organization respecting membership in this Mission Critical Customer Relationship as such terms are specified in this Agreement.

<ACCEPT>

By signifying that the Member declines below, the membership process in the Mission Critical Relationship will not continue.

<DECLINE>

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For inquiries or questions, please contact: TSANet, Inc. 9401 Indian Creek Parkway, Suite 180, Overland Park, Kansas, 66223, U.S.A./or call: 913.345.9311/ or [e-mail: dennis@tsanet.org](mailto:dennis@tsanet.org).